What is a Presentation Attack? And how do we detect it?

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NBL, Norwegian University of Science and Technology - Gjøvik, Norway

Dan Panorama Tel Aviv, January 16, 2018





Research Projects

Thanks to the sponsors of this work

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 - IARPA BATL http://www.christoph-busch.de/projects-batl.html
- NorwegianBiometricsLab@NTNU $$\Box NTNU$$ Norwegian University of Science and Technology Gjøvik:
 - ▶ EU-FP7 INGRESS http://www.ingress-project.eu
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 - ▶ EU-FP7 PIDaaS http://www.pidaas.eu
 - ▶ IKTPLUSS SWAN http://nislab.no/biometrics_lab/swan



What is a presentation attack?

What are Presentation Attacks?

We can learn from the James Bond movie

- 1971: Diamonds Are Forever ...
 - ... and James Bond impersonates Peter Frank



Biometric Presentation Attacks

A new understanding of a

 Keyring - impersonating target victims that have the desired authorization



Image Source: c't magazine

Gummy Finger Production in 2000!

Attack without support of the target victim

- Recording of a latent fingerprint from flat surface material
 - z.B. glass, CD-cover, etc. with iron powder and tape
- Scanning and post processing:
 - Correction of scanning errors
 - Closing of ridge lines (as needed)
 - Image inversion
- Print on transparent slide
- Photochemical production of a circuit board
- Artefact with silicon, which will have flexibility and humidity



Gummy Finger Production in 2000!

Reported in a publication by the German Federal Police

- Findings:
 - * "All systems were fooled by fingerprint-stamps, copied from entitled persons and made of india-rubber."



[Zwiesele2000] A. Zwiesele et al. "BioIS Study - Comparative Study of Biometric Identification Systems", In: 34th Annual 2000 IEEE International Carnahan Conference on Security Technology, Ottawa, (2000)

Presentation Attack Detection

Impostor

- impersonation attack
 - positive access 1:1 (two factor application)
 - positive access 1:N (single factor application)
- finding a look-a-like
- making appearance similar to the reference
- artefact presentation







For fingerprint recognition: e.g. silicon artefact production

For face recognition:
e.g. find a look-a-like first
and then consult a
make-up-artist

Image Source: http://upshout.net/game-of-thrones-make-up

Presentation Attack Detection

Impostor

- impersonation attack
 - positive access 1:1 (two factor application)
 - positive access 1:N (single factor application)
- finding a look-a-like
- making appearance similar to the reference
- artefact presentation







Concealer

- evasion from recognition
 - negative 1:N identification (watchlist application)
- depart from standard pose







evade face detection







Image Source: https://www.youtube.com/watch?v=LRj8whKmN1M

Image Source: https://cvdazzle.com

Image Source: http://upshout.net/game-of-thrones-make-up

Presentation Attack Detection - Framework

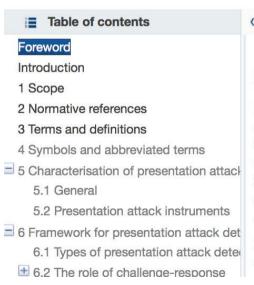
The international standard ISO/IEC 30107-1

freely available in the ISO-Portal

http://standards.iso.org/ittf/PubliclyAvailableStandards/c053227_ISO_IEC_30107-1_2016.zip



ISO/IEC 30107-1:2016(en) Information technology — Biometric presentation attack detection — Part 1: Framework



Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Presentation Attack Detection

Definitions in ISO/IEC 30107 PAD - Part 1: Framework

- presentation attack
 presentation to the biometric capture subsystem with the
 goal of interfering with the operation of the biometric system
- presentation attack detection (PAD)
 automated determination of a presentation attack

Definitions in ISO/IEC 2382-37: Vocabulary http://www.christoph-busch.de/standards.html

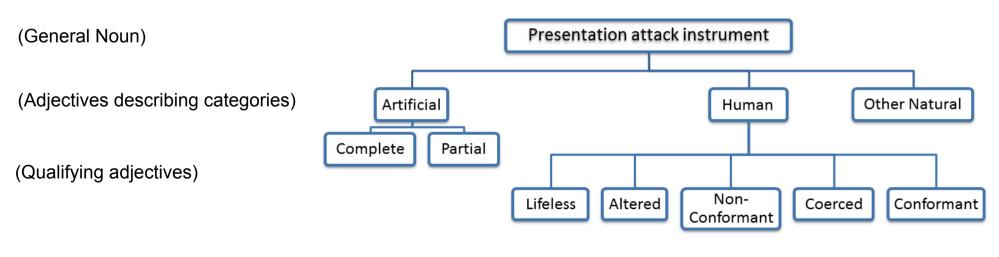
- impostor
 subversive biometric capture subject who attempts to being
 matched to someone else's biometric reference
- identity concealer subversive biometric capture subject who attempts to avoid being matched to their own biometric reference

Presentation Attack Detection

ISO/IEC 30107-1 - Definitions

- presentation attack instrument (PAI)
 biometric characteristic or object used in a presentation attack
- artefact
 artificial object or representation presenting a copy of biometric characteristics or synthetic biometric patterns

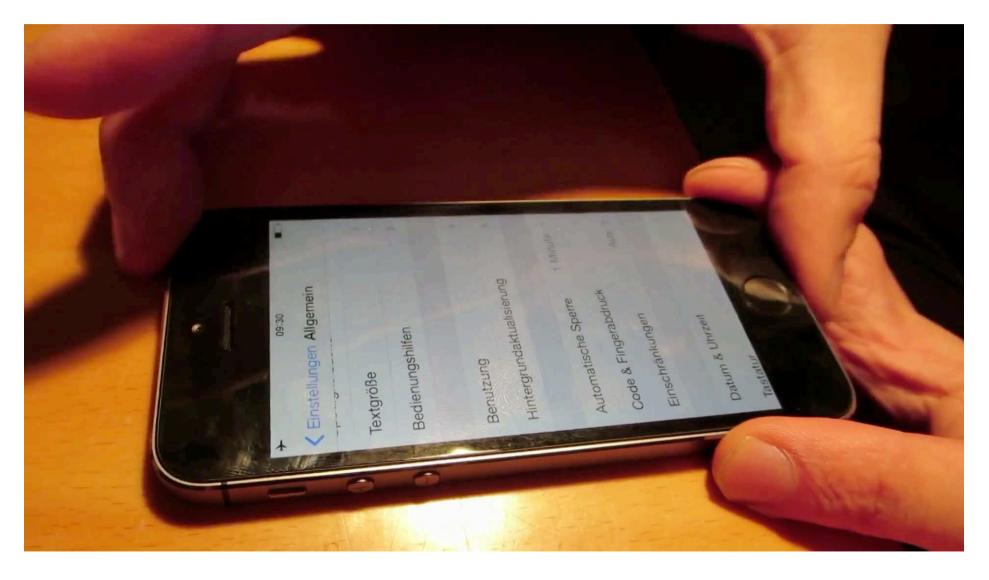
Types of presentation attacks



Source: ISO/IEC 30107-1

Presentation Attacks against the iPhone

Introduction of iPhone with Touch-ID in September 2013



Video Source: CCC, 2013

BSI Testing (www.bsi.bund.de)

- evaluation with known artefacts
- development of new artefact species
 - BSI-Fake-Toolbox



Source: BSI





Fingerphoto Presentation Attack Detection

Finger recognition study - 2012/2013

- Observation
 - significant strong light reflection near the fingertip
 - from the cameras LED
- Reflection depends on
 - Shape of the finger
 - Consistency of the finger skin
 - Angle of the finger to the camera
- Attack detection, as light reflection differs from artefacts to bona fide fingers

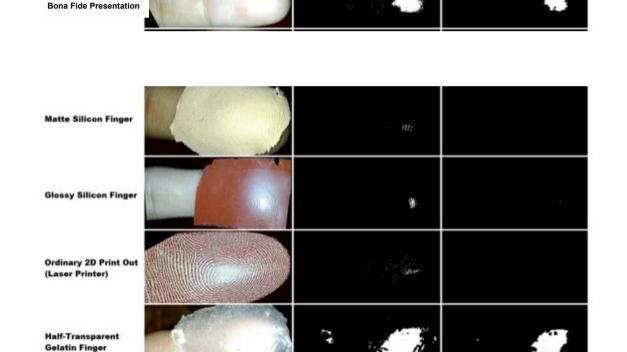


[SBB13] C. Stein, V. Bouatou, C. Busch, "Video-based Fingerphoto Recognition with Anti-spoofing Techniques with Smartphone Cameras", Proceedings 12th Intern. Conference of the Biometrics Special Interest Group (BIOSIG), (2013)

Fingerphoto Presentation Attack Detection

Finger recognition study - 2012/2013

Results: Presentation Attack Detection (PAD)



THRESHOLD ONLY

WHITE PIXLS (VALUE: 255)

CHALLENGE RESPONSE

INPUT

 Conclusion: Fingerphoto capture show better Presentation Attack Detection than capacitive sensors

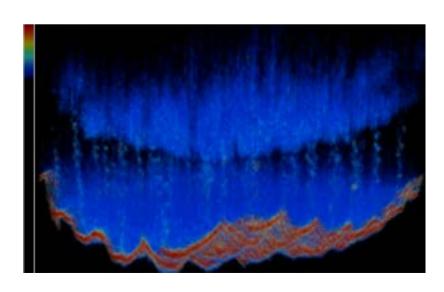
THRESHOLD + EROSION

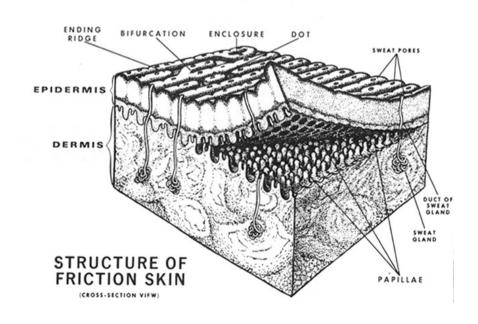
WHITE PIXLS (VALUE: 255)

(With Glycerin)

Countermeasures

- Observation of the live skin properties
- Observation of the sweat glandes
- Sensor:
 - Optical Coherence Tomography (OCT)

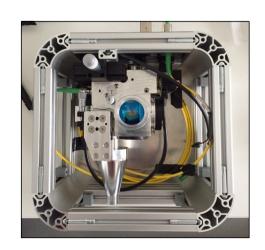




OCT

- at BSI-Germany
- Prototype for a high-end fingerprint sensor
- Requirements
 - PA robustness
 - Capture area: 20x20x6 mm
 - up to 3000 dpi
 - touchless scanning



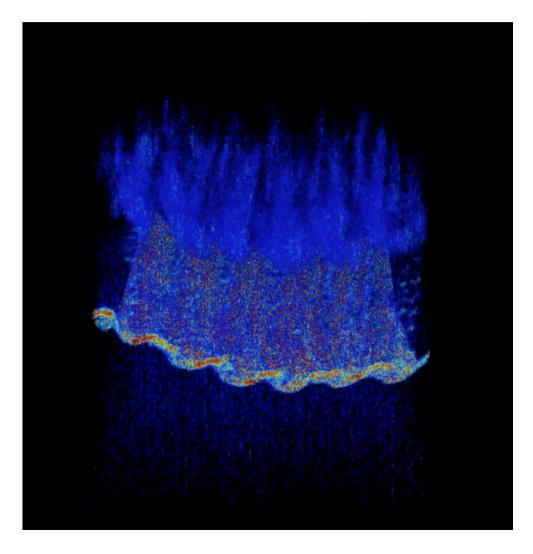


Source: BSI

Source: BSI

OCT

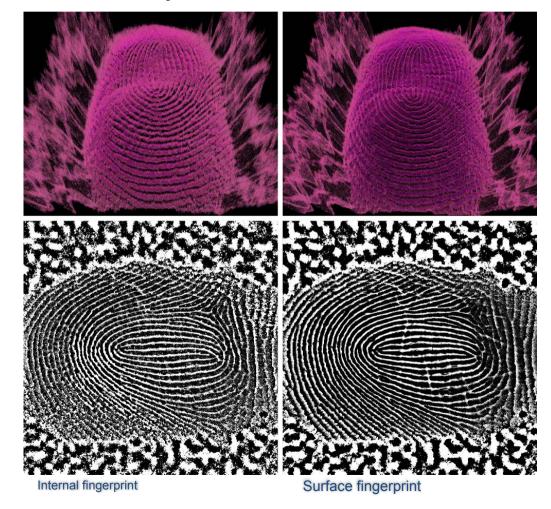
- Visualization of sweat glands
 - good scan



Source: C. Sousedik, NTNU, 2016

Comparing outer and inner fingerprint patterns

- Less than 2s (on GTX980)
 - detection of outer and inner layer
 - 2D projection



Source: BSI

What about other modalities?

Presentation Attacks with Eye Artefacts

Eye Recognition Security

Presentation attacks

• in the Movie "The Simpsons" (2007)







PAD for Eye Recognition Security

Eye recognition study - 2015

 Presentation Attack Detection (PAD) videos on iPhone 5 S and Nokia 1020





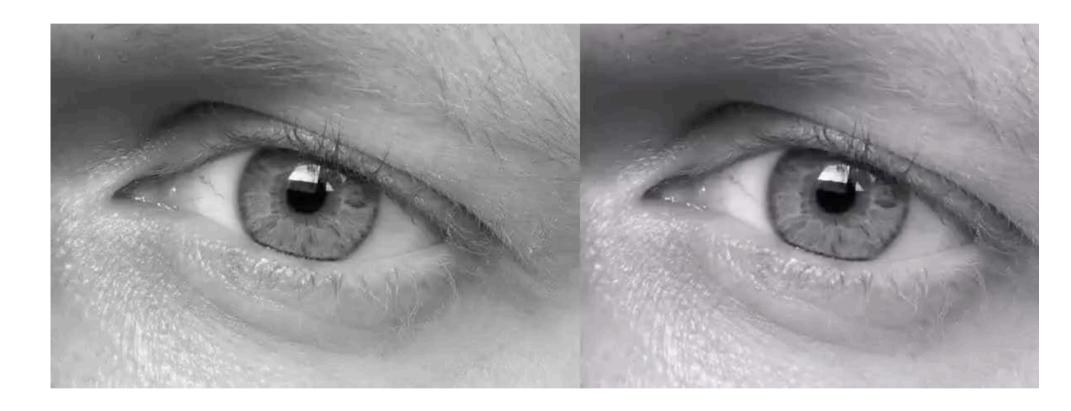




- Method based on Eulerian Video Magnification (EVM)
 - Normalized Cumulative
 Phase Information

PAD for Eye Recognition Security

Method based on Eulerian Video Magnification (EVM)



[RRB2015] K. Raja, R. Raghavendra, C. Busch: "Video Presentation Attack Detection in Visible Spectrum Iris Recognition Using Magnified Phase Information", in IEEE Transactions on Information Forensics and Security (TIFS), June, (2015)

Presentation Attack Detection - Testing

Definition of PAD metrics in ISO/IEC 30107-3

- Testing the PAD subsystem:
- Attack presentation classification error rate (APCER)
 proportion of attack presentations using the same PAI
 species incorrectly classified as bona fide presentations
 in a specific scenario
- Bona fide presentation classification error rate (BPCER) proportion of bona fide presentations incorrectly classified as attack presentations in a specific scenario

Source: ISO/IEC 30107-3

PAD for Eye Recognition Security

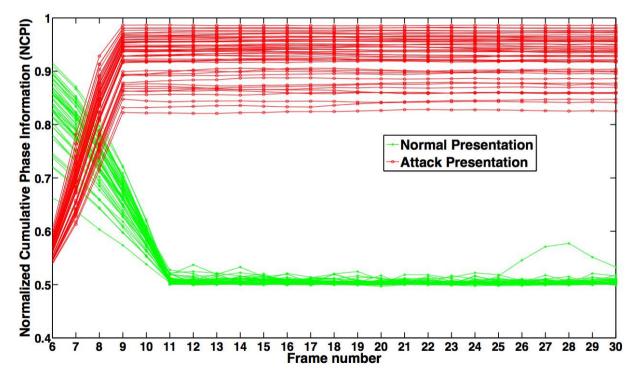
Eye recognition study - 2015

Method based on Eulerian Video Magnification (EVM)

Normalized Cumulative

Phase Information

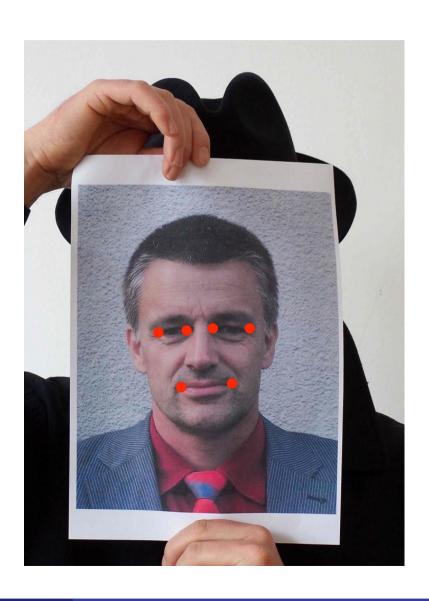
- Zero Error Rates:
 - ▶ APCER = 0 %
 - ▶ BPCER = 0 %



[RRB2015] K. Raja, R. Raghavendra, C. Busch: "Video Presentation Attack Detection in Visible Spectrum Iris Recognition Using Magnified Phase Information", in IEEE Transactions on Information Forensics and Security (TIFS), (2015)

Widely used at borders is Face Recognition!
Presentation Attacks with Face Artefacts

Face Presentation Attacks



Face Presentation Attack Detection

Hardware based

- Challenge Response
 - challenge the subject instructions and then compare the response to reference model for a bona fide behaviour
 - Instructions to the user to change head pose.
 - Reads user's lips after playing audio tracks of words or numbers.
- Blink detection































Face Presentation Attack Detection

Hardware based

- Challenge Response
 - challenge the subject instructions and then compare the response to reference model for a bona fide behaviour

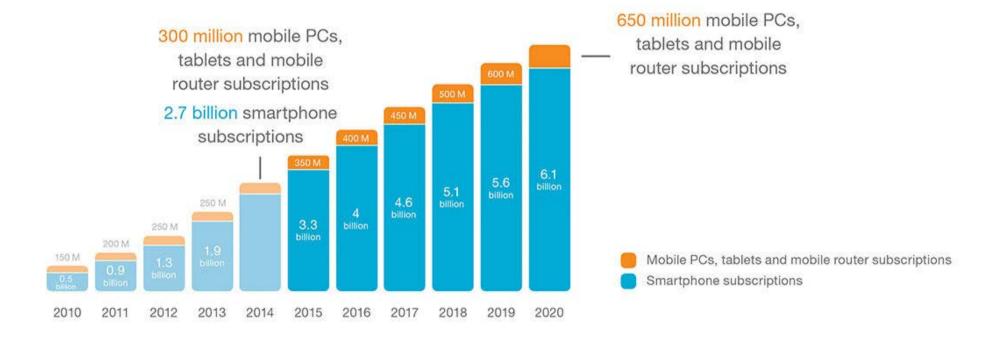
Instructions to the user to change head nose But today we have good displays to replay a video in high quality!



Smartphone Deployment

The Smartphone as personal device

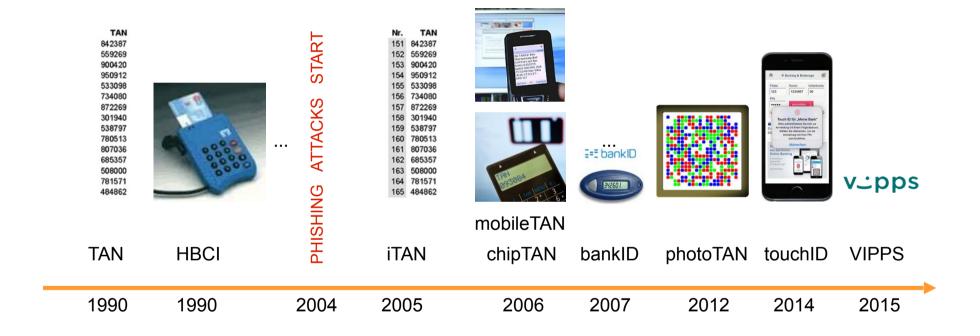
Smartphones, mobile PCs, tablets and mobile routers with a cellular connection



Source: https://thenextweb.com/insider/2014/11/18/2020-90-worlds-population-aged-6-will-mobile-phone-report/

Access Control in the Banking Environment

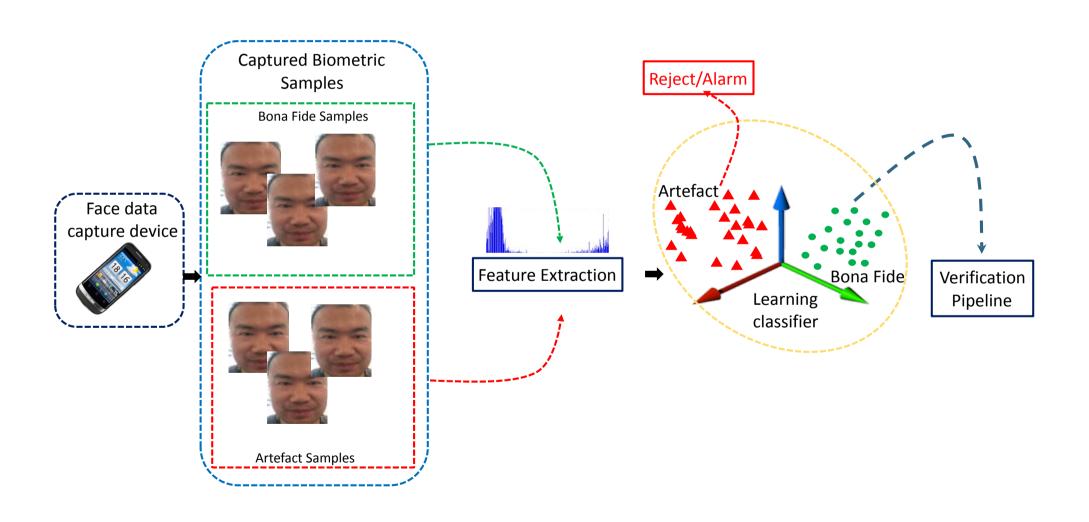
A European perspective



Inspired by: BdB (2015)

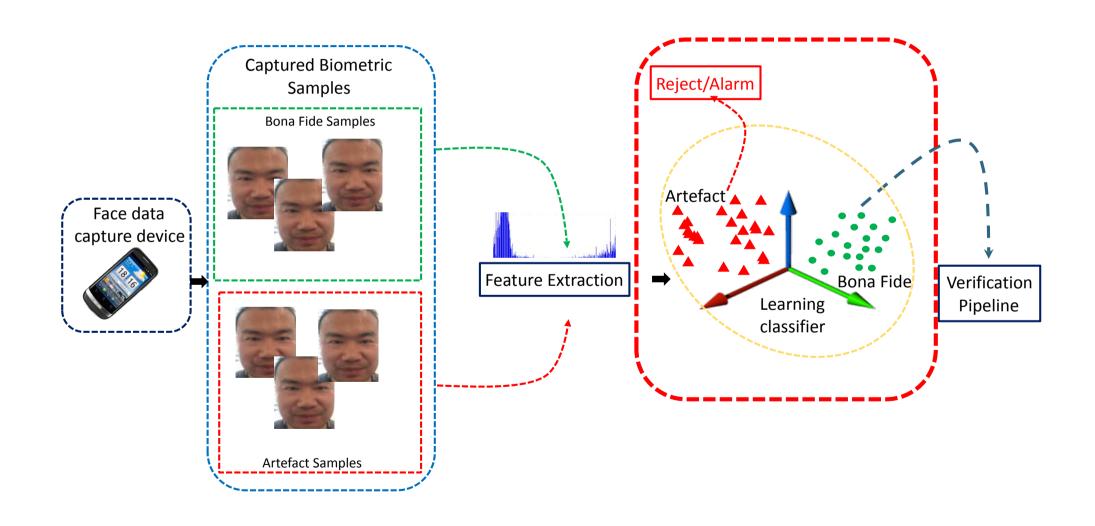
Smartphone - Presentation Attack Detection

Augmenting the processing pipeline



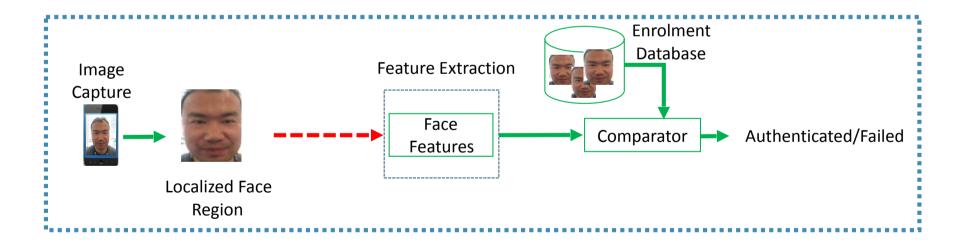
Smartphone - Face PAD

Augmenting the processing pipeline



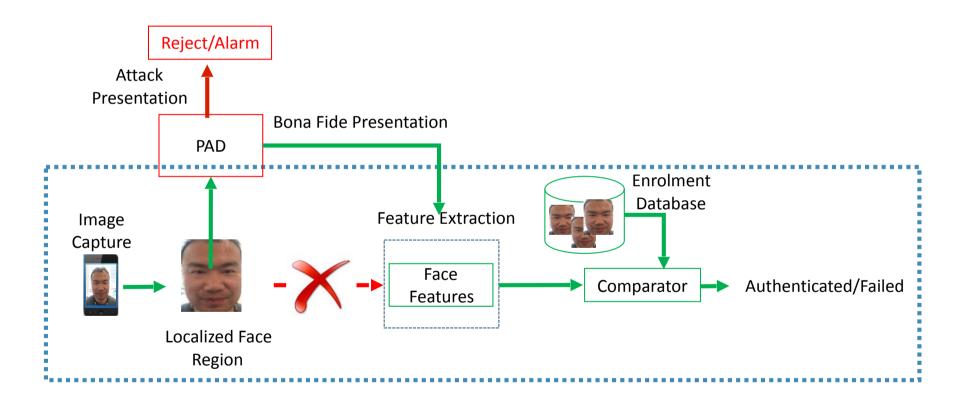
Smartphone - Face PAD

Augmenting the processing pipeline

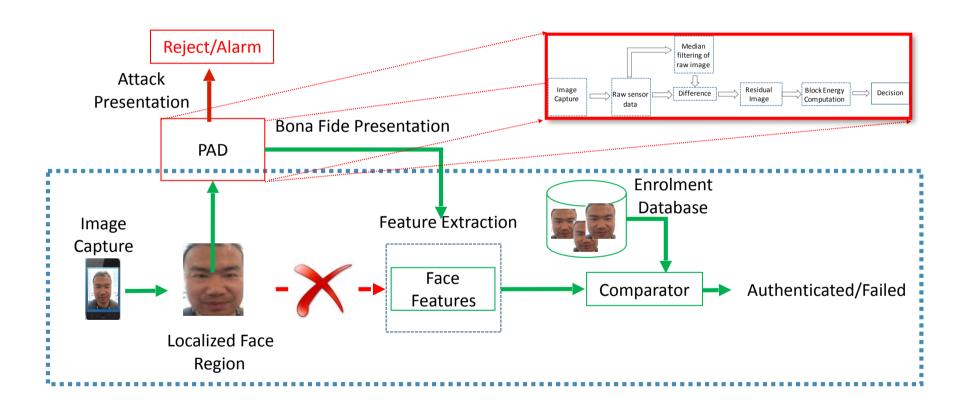


[Wasnik2016] P. Wasnik, K. Raja, R. Raghavendra, and C. Busch. "Presentation attack detection in face biometric systems using raw sensor data from smartphones". In Proc. 12th International Conference on Signal-Image Technology & Internet-Based Systems (SITIS), (2016)

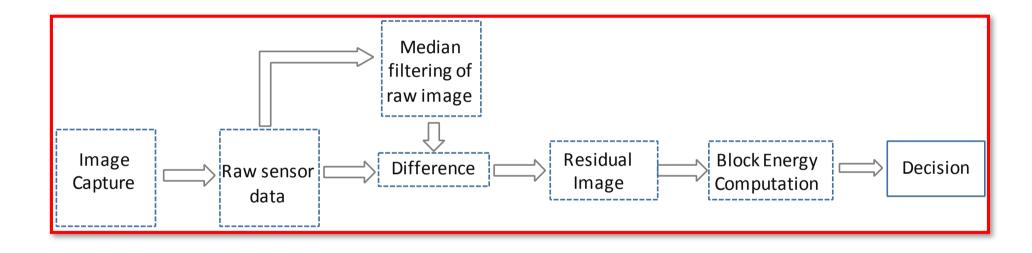
Augmenting the processing pipeline



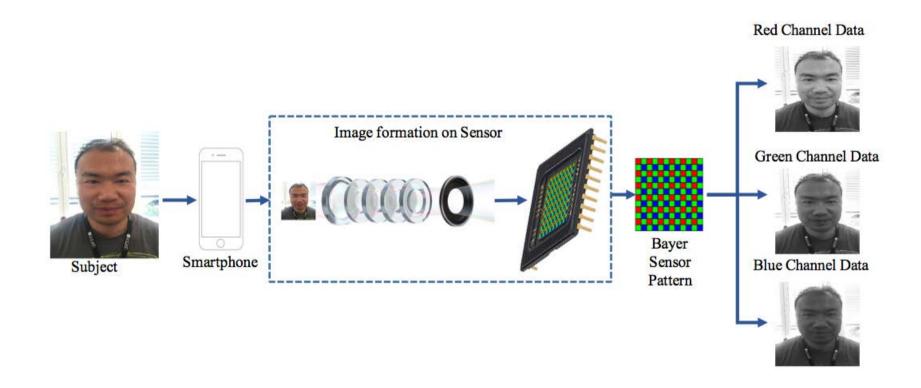
Augmenting the processing pipeline



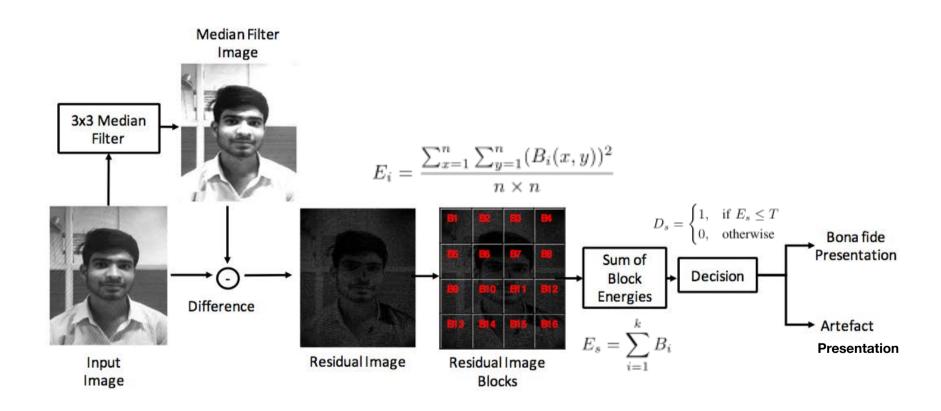
The Presentation Attack Detection subsystem



The biometric sample



Channel based processing



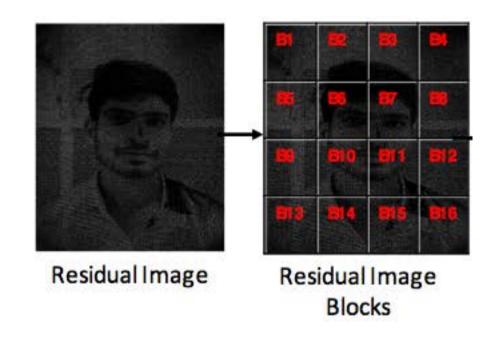
Residual image computation

$$E_{i} = \frac{\sum_{x=1}^{n} \sum_{y=1}^{n} (B_{i}(x, y))^{2}}{n \times n}$$

$$E_s = \sum_{i=1}^k B_i$$

$$D_s = \begin{cases} 1, & \text{if } E_s \le T \\ 0, & \text{otherwise} \end{cases}$$

$$D = \begin{cases} 1, & \text{if } majority\{D_r, D_g, D_b\} = 1\\ 0, & \text{otherwise} \end{cases}$$



Smartphone PAD – Results Majority Voting

Classification Error Rates

 Error rates for different thresholds of with majority voting on all three channels

Threshold	Paper			Dell			Samsung		
	BPCER (%)	APCER (%)	ACER (%)	BPCER (%)	APCER (%)	ACER (%)	BPCER (%)	APCER (%)	ACER (%)
200000	3.33	0.32	1.83	3.33	3.23	3.28	3.33	0.00	1.67
210000	3.33	0.32	1.83	3.33	3.23	3.28	3.33	0.00	1.67
220000	3.33	0.32	1.83	3.33	3.23	3.28	3.33	0.00	1.67
230000	2.67	0.65	1.66	2.67	4.19	3.43	2.67	0.00	1.33
240000	2.67	0.65	1.66	2.67	4.19	3.43	2.67	0.00	1.33
250000	2.00	1.29	1.65	2.00	5.48	3.74	2.00	0.00	1.00
260000	2.00	2.27	2.13	2.00	5.48	3.74	2.00	0.00	1.00
270000	2.00	3.24	2.62	2.00	5.48	3.74	2.00	0.00	1.00
280000	2.00	4.21	3.10	2.00	6.13	4.06	2.00	0.00	1.00
290000	1.33	8.41	4.87	1.33	6.77	4.05	1.33	0.00	0.67
300000	1.33	9.71	5.52	1.33	6.77	4.05	1.33	0.00	0.67

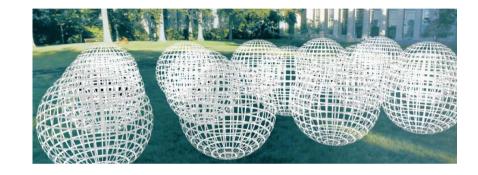
PAD – based on Depth Information

Light-field camera recently proposed for PAD

panoptic or directional camera

Why light-field camera?

- Multiple focus/depth images in one shot.
- No need to adjust the lens to set focus.



 $P(\theta, \phi, \lambda, t, Vx, Vy, Vz)$

Portable and hand-held, low cost.

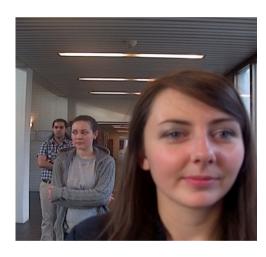


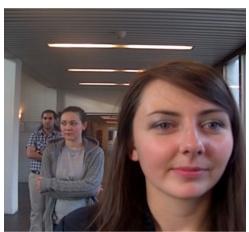


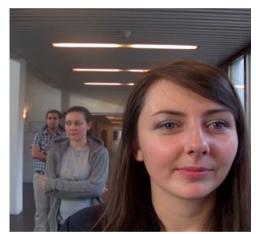
[Raghu2015] R. Raghavendra, K.B. Raja, and C. Busch: "Presentation Attack Detection for Face Recognition using Light Field Camera", in IEEE Transactions on Image Processing, vol. 24, no. 3, pp. 1060–1075, (2015)

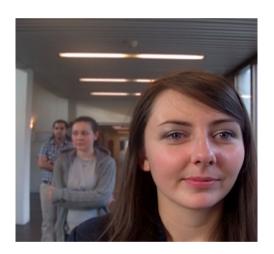
PAD – based on Depth Information

Example of light-field imaging (LYTRO)









[Raghu2015] R. Raghavendra, K.B. Raja, and C. Busch: "Presentation Attack Detection for Face Recognition using Light Field Camera", in IEEE Transactions on Image Processing, vol. 24, no. 3, pp. 1060–1075, (2015)

3D Face Mask Production

Attack again without support of an enroled individual

- Frontal and profile photos are uploaded
- 3D face dataset rendered and produced

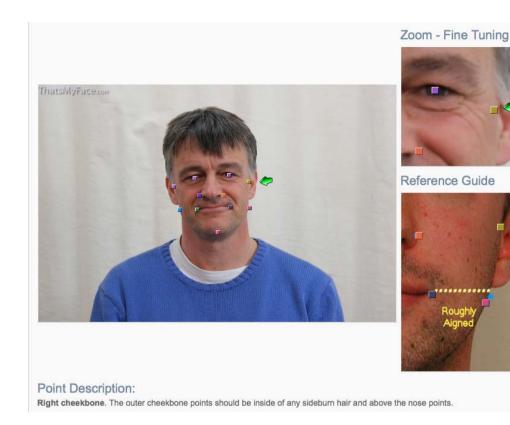








3D Face Mask Production



3D-reconstruction





mask production preview ("beautified"):





3D Face Mask Production

Attack again without support of an enroled individual

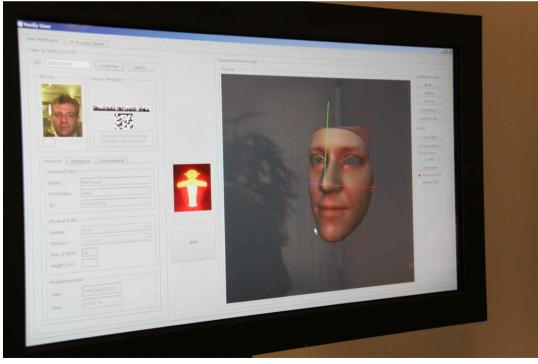
A static mask is produced and shipped





Face Capture Device Security





Impostor Presentation Attack

3D silicon mask

- Targeted attack with 3D silicon custom mask
- Cost more than 3000 USD



Image Source: Sebastien Marcel (Idiap)

Impostor Presentation Attack





Source: BSI

Face Capture Device Security

Face disguise for organized crime (June 2012)

• http://www.dailymail.co.uk/news/article-2153346/Black-armed-robber-disguised-white-man-using-latex-mask.html



The man in the latex mask: BLACK serial armed robber disguised himself as a WHITE man to rob betting shops

- Henley Stephenson wore the disguise during a 12-year campaign of holdups at betting shops and other stores across London
- · He was part of a three-man gang jailed for a total of 28 years
- CCTV footage showed him firing a semi-automatic pistol into the ceiling during a raid on a betting shop
- The mask was bought from the same London shop which supplied masks used in the £40m Graff Diamonds heist

By ROB PREECE and REBECCA CAMBER FOR THE DAILY MAIL

PUBLISHED: 17:22 GMT, 1 June 2012 | UPDATED: 16:21 GMT, 2 June 2012

Most masked robbers opt for a balaclava to hide their identity.

Not this one. Henley Stephenson, 41, eluded police for more than ten years thanks to an extraordinarily lifelike latex mask, which turned him into a white skinhead.

Officers discovered that their man was in fact black when they finally caught up with Stephenson after a string of armed raids dating back to 1999.





We are close to the end of this talk!

Now - the bonus material in this talk:

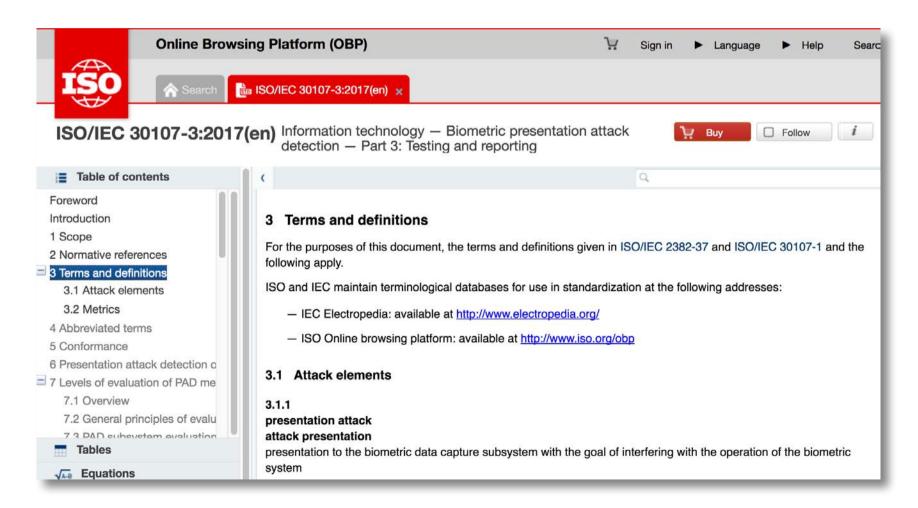
More on

Standardized Metrics

ISO/IEC 30107-3

available in the ISO/IEC Portal

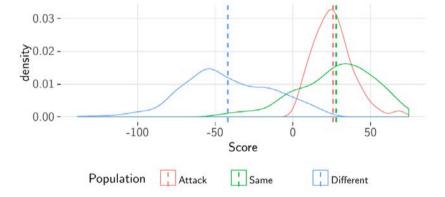
https://www.iso.org/obp/ui/#iso:std:iso-iec:30107:-3:ed-1:v1:en



Definition of full system vulnerability metric w.r.t attacks

• Impostor attack presentation match rate (IAPMR) <in a full-system evaluation of a verification system> the proportion of impostor attack presentation using the same PAI species in which the target reference is matched

Source: ISO/IEC 30107-3



• Concealer attack presentation non-match rate (CAPNMR) in a full-system evaluation of a verification system, the proportion of concealer attack presentation using the same PAI species in which the target reference is not matched.

Source: ISO/IEC 30107-3

Definition of detection capabilities metrics

- Testing the PAD subsystem with security measure:
- Attack presentation classification error rate (APCER)
 proportion of attack presentations using the same PAI
 species incorrectly classified as bona fide presentations
 in a specific scenario

$$APCER_{PAIS} = 1 - \left(\frac{1}{N_{PAIS}}\right) \sum_{i=1}^{N_{PAIS}} Res_i$$

Source: ISO/IEC 30107-3

- N_{PAIS} is the number of attack presentations for the given PAI species
- Res_i takes value 1 if the ith presentation is classified as an attack presentation, and value 0 if classified as a bona fide presentation

Definition of detection capabilities metrics

- Testing the PAD subsystem with security measure:
- Attack presentation classification error rate (APCER)
 the highest APCER (i.e. that of the most successful PAI
 species) should be reported as follows:

$$APCER_{AP} = \max_{PAIS \in \mathcal{A}_{AP}} (APCER_{PAIS})$$

Source: ISO/IEC 30107-3

where A_{AP} is a subset of PAI species with attack potential at or below AP.

Definition of detection capabilities metrics

- Testing the PAD subsystem with convenience measure:
- Bona fide presentation classification error rate (BPCER)
 BPCER shall be calculated as follows:

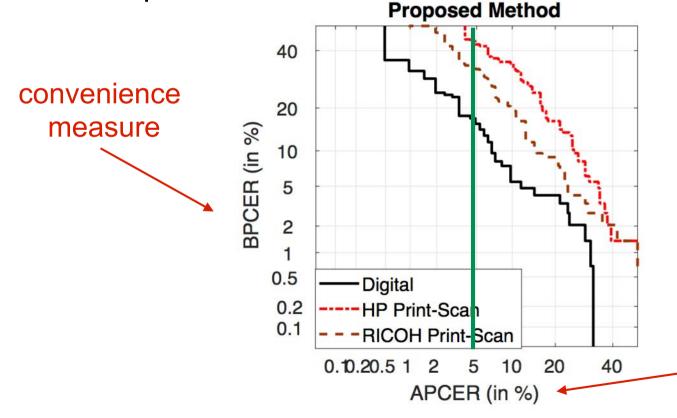
$$BPCER = \frac{\sum_{i=1}^{N_{BF}} RES_i}{N_{BF}}$$

Source: ISO/IEC 30107-3

- N_{BF} is the number of bona fide presentations
- Res_i takes value 1 if the it^h presentation is classified as an attack presentation, and value 0 if classified as a bona fide presentation

Definition of detection capabilities metrics

- DET curve analyzing operating points for various security measures and convenience measures
- Example:



security measure (strength of function)

Source: IR. Raghavendra, K. Raja, S. Venkatesh, C. Busch: "Transferable Deep-CNN features for detecting digital and print-scanned morphed face images", in Proceedings of 30th International Conference on Computer Vision and Pattern Recognition Workshop (CVPRW 2017), Honolulu, Hawaii, July 21-26, (2017)

Definition of detection capabilities metrics

Testing a specific security level:

PAD mechanism may be reported in a single figure

BPCER at a fixed APCER:

One may report BPCER when APCER_{AP} is 5% as BPCER20

Source: ISO/IEC 30107-3

References

Standards

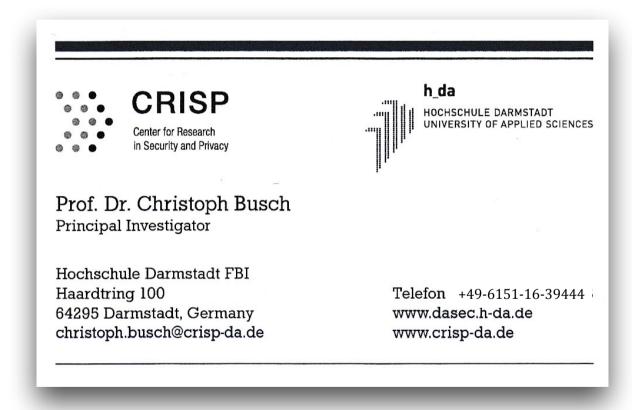
- ISO/IEC Standards
 http://www.iso.org/iso/iso_catalogue/catalogue_tc/catalogue_tc_browse.htm?
 commid=313770&published=on
- ISO/IEC 30107-1, "Biometric presentation attack detection -Part 1: Framework", 2016 http://standards.iso.org/ittf/PubliclyAvailableStandards/ c053227_ISO_IEC_30107-1_2016.zip
- ISO/IEC 30107-3, "Biometric presentation attack detection -Part 3: Framework", 2017 http://www.iso.org/iso/home/store/catalogue_tc/catalogue_detail.htm?csnumber=67381
- ISO/IEC 2nd WD 19989-1, "Criteria and methodology for security evaluation of biometric systems - Part 1: Framework" https://www.iso.org/standard/72402.html
- ISO/IEC 2nd WD 19989-3, "Criteria and methodology for security evaluation of biometric systems - Part 3: Presentation attack detection

https://www.iso.org/standard/73721.html

Contact

If you have a student interested in an internship

• then please contact:



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